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PTO/SB/21 (08-00)  
Approved for use through 10/31/2002 OMB 0851-0031  
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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of documents in This Submission 26 pages

Application Number 09/973,098

Filing Date October 9, 2001

First Named Inventor Patrick L. Sullivan

Examiner Name Tuan T. Dinh

Group Art Unit 2827

Attorney Docket No. 10001-31335

### ENCLOSURES (check all that apply)

☒ Fee Transmittal Form (2)

☒ Amendment/Reply

\_\_\_\_\_ After Final

\_\_\_\_\_ Affidavits/declaration(s)

\_\_\_\_\_ Extension of Time Request

\_\_\_\_\_ Express Abandonment Request

\_\_\_\_\_ Information Disclosure Statement

\_\_\_\_\_ Certified Copy of Priority Document(s)

\_\_\_\_\_ Response to Missing Parts/  
Incomplete Application

\_\_\_\_\_ Response to Missing Parts  
Under 37 CFR 1.52 or 1.53

\_\_\_\_\_ Assignment Papers  
(for an Application)

\_\_\_\_\_ Drawing(s)

\_\_\_\_\_ Licensing-related Papers

\_\_\_\_\_ Petition

\_\_\_\_\_ Petition to Convert to a  
Provisional Application

\_\_\_\_\_ Power of Attorney, Revocation  
Change of Correspondence Address

\_\_\_\_\_ Terminal Disclaimer

\_\_\_\_\_ Request for Refund

\_\_\_\_\_ CD, Number of CD(s) \_\_\_\_\_

\_\_\_\_\_ After Allowance Communication  
to Group

\_\_\_\_\_ Appeal Communication to Board of  
Appeals and Interferences

\_\_\_\_\_ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

\_\_\_\_\_ Proprietary Information

\_\_\_\_\_ Status Letter

☒ Other Enclosure(s) (please  
identify below):

Postcard

### REMARKS

RECEIVED  
JUN 28 2002  
TECHNOLOGY CENTER 2800

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or  
Individual Name Patrick L. Patras  
Jenner & Block, LLC

Signature

Date

June 13, 2002

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: June 13, 2002

Typed or printed name Leah Grinnage

Signature

Date

June 13, 2002

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## FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT \$ 552

### Complete if Known

Application Number 09/973,098  
Filing Date October 9, 2001  
First Named Inventor Patrick L. Sullivan  
Examiner Name Tuan T. Dinh  
Group Art Unit 2827  
Attorney Docket No. 10001-31335

### METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 10-0460

Deposit Account Name JENNER & BLOCK, LLC

- ☒ Charge Any Additional Fee Required under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status. See 37 CFR 1.27.

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity Code (\$)	Small Entity Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	\$
106 330	206 165	Design filing fee	\$
107 510	207 255	Plant filing fee	\$
108 740	208 370	Reissue filing fee	\$
114 160	214 80	Provisional filing fee	\$
<b>SUBTOTAL</b>			<b>\$</b>

#### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee From Below	FEE PAID
38 - 26** = 12	x	18	= 216.
Independent Claims 7 - 3* = 4	x	84	= 336.
Multiple Dependent			= 552

Large Entity Code (\$)	Small Entity Code (\$)	Fee Description	Fee Paid
103 18	203 9	Claims in excess of 20	
102 84	202 42	Independent claims in excess of 3	
104 280	204 140	Multiple dependent claim, if not paid	
109 84	209 42	**Reissue independent claims over original patent	
110 18	210 9	**Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL</b>			<b>\$ 552.</b>

\*for number previously paid, if greater; For Reissues, see above

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity Code (\$)	Small Entity Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge-late filing fee or oath	\$
127 50	227 25	Surcharge-late provisional filing fee or cover sheet	\$
139 130	139 130	Non-English specification	\$
147 2,520	147 2,520	For filing request for ex parte reexamination	\$
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	\$
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	\$
115 110	215 55	Extension for reply within 1 <sup>st</sup> month	\$
116 400	216 200	Extension for reply within 2 <sup>nd</sup> month	\$
117 920	217 460	Extension for reply within 3 <sup>rd</sup> month	\$
118 1,440	218 720	Extension for reply within 4 <sup>th</sup> month	\$
128 1,960	228 980	Extension for reply within 5 <sup>th</sup> month	\$
119 320	219 160	Notice of Appeal	\$
120 320	220 160	Filing a brief in support of appeal	\$
121 280	221 140	Request for oral hearing	\$
138 1,510	138 1,510	Petition to institute public use proceeding	\$
140 110	240 55	Petition to revive - unavoidable	\$
141 1,280	241 640	Petition to revive - unintentional	\$
142 1,280	242 640	Utility issue fee (or reissue)	\$
143 460	243 230	Design issue fee	\$
144 620	244 310	Plant issue fee	\$
122 130	122 130	Petitions to the Commissioner	\$
123 50	123 50	Processing fee under 37 CFR 1.17(q)	\$
126 180	126 180	Submission of Information Disclosure Statement	\$
581 40	581 40	Recording each patent assignment per property (times # properties)	\$
146 740	246 370	Filing submission after final rejection (37 CFR § 1.129(a))	\$
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	\$
179 740	279 370	Request for Continued Examination (RCE)	\$
169 900	169 900	Request for expedited examination of a design application	\$

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)**

**\$ 0.**

### SUBMITTED BY

Complete (if applicable)

Name (Print/Type) Patrick L. Patras

Registration No. 37,695  
(Attorney/Agent)

Telephone 312-222-9350

Signature

*Patrick L. Patras*

Date June 13, 2002

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